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Dated: October 14, 2004

OCT 22 2004

*Thomas L. Evans*  
Thomas L. Evans

Atty. Docket No.  
005156.00012

**Technology Center 2100**

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:

Timothy BROWN

Serial No.: 09/625,991

Filed: July 26, 2000

Examiner: Hassan Mahmoudi

Group Art Unit: 2175

For: A SYSTEM FOR USING A FLOATING PALLET FOR A DIGITAL ASSET  
MANAGEMENT SYSTEM IN A PLURALITY OF DIFFERENT APPLICATIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 6,006,279 to Hayes, issued December 21, 1999;
- (2) U.S. Patent No. 6,519,594 to Li, issued February 11, 2003;
- (3) U.S. Patent No. 6,526,566 to Austin, issued February 25, 2003;
- (4) Office Action dated April 10, 2003 from related application 09/625,989;
- (5) Office Action dated June 30, 2004 from related application 09/625,989; and

(6) Office Action dated November 26, 2003 from related application 09/625,989.

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached.

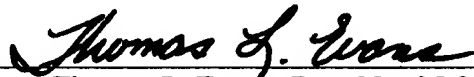
Since Official Actions have issued for this application, Applicant also submits herewith the fee in the amount of \$180 in accordance with 37 C.F.R. §1.97(e)(2), to be charged to Deposit Account No. 19-0733. If, however, the Commissioner deems that any additional fees are necessary for the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge such additional fees to said Deposit Account.

In conclusion, Applicant respectfully asks that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made official of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: October 14, 2004

By:   
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	09/625,991
Filing Date	July 26, 2000
First Named Inventor	Timothy Brown
Art Unit	2175
Examiner Name	Hassan Mahmoudi
Attorney Docket Number	005156.00012

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,006,279	12-21-1999	Hayes	
		US- 6,519,594	02-11-2003	Li	
		US- 6,526,566	02-25-2003	Austin	
		US-			
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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Office Action dated April 10, 2003 from related application 09/625,989	
		Office Action dated June 30, 2004 from related application 09/625,989	
		Office Action dated November 26, 2003 from related application 09/625,989	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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